

## PART 117—DETERMINATION OF REPORTABLE QUANTITIES FOR HAZARDOUS SUBSTANCES

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AUTHORITY: 33 U.S.C. 1251 *et seq.* and Executive Order 11735, superseded by Executive Order 12777, 56 FR 54757.

SOURCE: 44 FR 50776, Aug. 29, 1979, unless otherwise noted.

### Subpart A—General Provisions

#### § 117.1 Definitions.

As used in this part, all terms shall have the meanings stated in 40 CFR part 116.

(a) *Reportable quantities* means quantities that may be harmful as set forth in § 117.3, the discharge of which is a violation of section 311(b)(3) and requires notice as set forth in § 117.21.

(b) *Administrator* means the Administrator of the Environmental Protection Agency (“EPA”).

(c) *Mobile source* means any vehicle, rolling stock, or other means of transportation which contains or carries a reportable quantity of a hazardous substance.

(d) *Public record* means the NPDES permit application or the NPDES permit itself and the materials comprising the administrative record for the permit decision specified in § 124.18 of this chapter.

(e) *National Pretreatment Standard or Pretreatment Standard* means any regulation containing pollutant discharge

limits promulgated by the EPA in accordance with section 307 (b) and (c) of the Act, which applies to industrial users of a publicly owned treatment works. It further means any State or local pretreatment requirement applicable to a discharge and which is incorporated into a permit issued to a publicly owned treatment works under section 402 of the Act.

(f) *Publicly Owned Treatment Works* or *POTW* means a treatment works as defined by section 212 of the Act, which is owned by a State or municipality (as defined by section 502(4) of the Act). This definition includes any sewers that convey wastewater to such a treatment works, but does not include pipes, sewers or other conveyances not connected to a facility providing treatment. The term also means the municipality as defined in section 502(4) of the Act, which has jurisdiction over the indirect discharges to and the discharges from such a treatment works.

(g) *Remove* or *removal* refers to removal of the oil or hazardous substances from the water and shoreline or the taking of such other actions as may be necessary to minimize or mitigate damage to the public health or welfare, including, but not limited to, fish, shellfish, wildlife, and public and private property, shorelines, and beaches.

(h) *Contiguous zone* means the entire zone established by the United States under Article 24 of the Convention on the Territorial Sea and Contiguous Zone.

(i) *Navigable waters* is defined in section 502(7) of the Act to mean “waters of the United States, including the territorial seas.”

(1) For purposes of the Clean Water Act, 33 U.S.C. 1251 *et seq.* and its implementing regulations, subject to the exclusions in paragraph (i)(2) of this section, the term “waters of the United States” means:

(i) All waters which are currently used, were used in the past, or may be susceptible to use in interstate or foreign commerce, including all waters which are subject to the ebb and flow of the tide;

(ii) All interstate waters, including interstate wetlands;

(iii) The territorial seas;

(iv) All impoundments of waters otherwise identified as waters of the United States under this section;

(v) All tributaries, as defined in paragraph (i)(3)(iii) of this section, of waters identified in paragraphs (i)(1)(i) through (iii) of this section;

(vi) All waters adjacent to a water identified in paragraphs (i)(1)(i) through (v) of this section, including wetlands, ponds, lakes, oxbows, impoundments, and similar waters;

(vii) All waters in paragraphs (i)(1)(vii)(A) through (E) of this section where they are determined, on a case-specific basis, to have a significant nexus to a water identified in paragraphs (i)(1)(i) through (iii) of this section. The waters identified in each of paragraphs (i)(1)(vii)(A) through (E) of this section are similarly situated and shall be combined, for purposes of a significant nexus analysis, in the watershed that drains to the nearest water identified in paragraphs (i)(1)(i) through (iii) of this section. Waters identified in this paragraph shall not be combined with waters identified in paragraph (i)(1)(vi) of this section when performing a significant nexus analysis. If waters identified in this paragraph are also an adjacent water under paragraph (i)(1)(vi), they are an adjacent water and no case-specific significant nexus analysis is required.

(A) *Prairie potholes*. Prairie potholes are a complex of glacially formed wetlands, usually occurring in depressions that lack permanent natural outlets, located in the upper Midwest.

(B) *Carolina bays and Delmarva bays*. Carolina bays and Delmarva bays are ponded, depressional wetlands that occur along the Atlantic coastal plain.

(C) *Pocosins*. Pocosins are evergreen shrub and tree dominated wetlands found predominantly along the Central Atlantic coastal plain.

(D) *Western vernal pools*. Western vernal pools are seasonal wetlands located in parts of California and associated with topographic depression, soils with poor drainage, mild, wet winters and hot, dry summers.

(E) *Texas coastal prairie wetlands*. Texas coastal prairie wetlands are freshwater wetlands that occur as a mosaic of depressions, ridges, intermound flats, and mima mound

wetlands located along the Texas Gulf Coast.

(viii) All waters located within the 100-year floodplain of a water identified in (i)(1)(i) through (iii) of this section and all waters located within 4,000 feet of the high tide line or ordinary high water mark of a water identified in paragraphs (i)(1)(i) through (v) of this section where they are determined on a case-specific basis to have a significant nexus to a water identified in paragraphs (i)(1)(i) through (iii) of this section. For waters determined to have a significant nexus, the entire water is a water of the United States if a portion is located within the 100-year floodplain of a water identified in paragraphs (i)(1)(i) through (iii) of this section or within 4,000 feet of the high tide line or ordinary high water mark. Waters identified in this paragraph shall not be combined with waters identified in paragraph (i)(1)(vi) of this section when performing a significant nexus analysis. If waters identified in this paragraph are also an adjacent water under paragraph (i)(1)(vi), they are an adjacent water and no case-specific significant nexus analysis is required.

(2) The following are not “waters of the United States” even where they otherwise meet the terms of paragraphs (i)(1)(iv) through (viii) of this section.

(i) Waste treatment systems, (other than cooling ponds meeting the criteria of this paragraph) are not waters of the United States.

(ii) Prior converted cropland. Notwithstanding the determination of an area’s status as prior converted cropland by any other Federal agency, for the purposes of the Clean Water Act, the final authority regarding Clean Water Act jurisdiction remains with EPA.

(iii) The following ditches:

(A) Ditches with ephemeral flow that are not a relocated tributary or excavated in a tributary.

(B) Ditches with intermittent flow that are not a relocated tributary, excavated in a tributary, or drain wetlands.

(C) Ditches that do not flow, either directly or through another water, into

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a water identified in paragraphs (i)(1)(i) through (iii) of this section.

(iv) The following features:

(A) Artificially irrigated areas that would revert to dry land should application of water to that area cease;

(B) Artificial, constructed lakes and ponds created in dry land such as farm and stock watering ponds, irrigation ponds, settling basins, fields flooded for rice growing, log cleaning ponds, or cooling ponds;

(C) Artificial reflecting pools or swimming pools created in dry land;

(D) Small ornamental waters created in dry land;

(E) Water-filled depressions created in dry land incidental to mining or construction activity, including pits excavated for obtaining fill, sand, or gravel that fill with water;

(F) Erosional features, including gullies, rills, and other ephemeral features that do not meet the definition of tributary, non-wetland swales, and lawfully constructed grassed waterways; and

(G) Puddles.

(v) Groundwater, including groundwater drained through subsurface drainage systems.

(vi) Stormwater control features constructed to convey, treat, or store stormwater that are created in dry land.

(vii) Wastewater recycling structures constructed in dry land; detention and retention basins built for wastewater recycling; groundwater recharge basins; percolation ponds built for wastewater recycling; and water distributary structures built for wastewater recycling.

(3) In this paragraph, the following terms apply:

(i) *Adjacent*. The term *adjacent* means bordering, contiguous, or neighboring a water identified in paragraphs (i)(1)(i) through (v) of this section, including waters separated by constructed dikes or barriers, natural river berms, beach dunes, and the like. For purposes of adjacency, an open water such as a pond or lake includes any wetlands within or abutting its ordinary high water mark. Adjacency is not limited to waters located laterally to a water identified in paragraphs (i)(1)(i) through (v) of this section. Adjacent waters also include

all waters that connect segments of a water identified in paragraphs (i)(1)(i) through (v) or are located at the head of a water identified in paragraphs (i)(1)(i) through (v) of this section and are bordering, contiguous, or neighboring such water. Waters being used for established normal farming, ranching, and silviculture activities (33 U.S.C. 1344(f)) are not adjacent.

(ii) *Neighboring*. The term *neighboring* means:

(A) All waters located within 100 feet of the ordinary high water mark of a water identified in paragraphs (i)(1)(i) through (v) of this section. The entire water is neighboring if a portion is located within 100 feet of the ordinary high water mark;

(B) All waters located within the 100-year floodplain of a water identified in paragraphs (i)(1)(i) through (v) of this section and not more than 1,500 feet from the ordinary high water mark of such water. The entire water is neighboring if a portion is located within 1,500 feet of the ordinary high water mark and within the 100-year floodplain;

(C) All waters located within 1,500 feet of the high tide line of a water identified in paragraphs (i)(1)(i) or (iii) of this section, and all waters within 1,500 feet of the ordinary high water mark of the Great Lakes. The entire water is neighboring if a portion is located within 1,500 feet of the high tide line or within 1,500 feet of the ordinary high water mark of the Great Lakes.

(iii) *Tributary* and *tributaries*. The terms *tributary* and *tributaries* each mean a water that contributes flow, either directly or through another water (including an impoundment identified in paragraph (i)(1)(iv) of this section), to a water identified in paragraphs (i)(1)(i) through (iii) of this section that is characterized by the presence of the physical indicators of a bed and banks and an ordinary high water mark. These physical indicators demonstrate there is volume, frequency, and duration of flow sufficient to create a bed and banks and an ordinary high water mark, and thus to qualify as a tributary. A tributary can be a natural, man-altered, or man-made water and includes waters such as rivers, streams, canals, and ditches not

excluded under paragraph (i)(2) of this section. A water that otherwise qualifies as a tributary under this definition does not lose its status as a tributary if, for any length, there are one or more constructed breaks (such as bridges, culverts, pipes, or dams), or one or more natural breaks (such as wetlands along the run of a stream, debris piles, boulder fields, or a stream that flows underground) so long as a bed and banks and an ordinary high water mark can be identified upstream of the break. A water that otherwise qualifies as a tributary under this definition does not lose its status as a tributary if it contributes flow through a water of the United States that does not meet the definition of tributary or through a non-jurisdictional water to a water identified in paragraphs (i)(1)(i) through (iii) of this section.

(iv) *Wetlands*. The term *wetlands* means those areas that are inundated or saturated by surface or groundwater at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions. Wetlands generally include swamps, marshes, bogs, and similar areas.

(v) *Significant nexus*. The term *significant nexus* means that a water, including wetlands, either alone or in combination with other similarly situated waters in the region, significantly affects the chemical, physical, or biological integrity of a water identified in paragraphs (i)(1)(i) through (iii) of this section. The term “in the region” means the watershed that drains to the nearest water identified in paragraphs (i)(1)(i) through (iii) of this section. For an effect to be significant, it must be more than speculative or insubstantial. Waters are similarly situated when they function alike and are sufficiently close to function together in affecting downstream waters. For purposes of determining whether or not a water has a significant nexus, the water’s effect on downstream (i)(1)(i) through (iii) waters shall be assessed by evaluating the aquatic functions identified in paragraphs (i)(3)(v)(A) through (I) of this section. A water has a significant nexus when any single function or combination of functions performed by the

water, alone or together with similarly situated waters in the region, contributes significantly to the chemical, physical, or biological integrity of the nearest water identified in paragraphs (i)(1)(i) through (iii) of this section. Functions relevant to the significant nexus evaluation are the following:

- (A) Sediment trapping,
- (B) Nutrient recycling,
- (C) Pollutant trapping, transformation, filtering, and transport,
- (D) Retention and attenuation of flood waters,
- (E) Runoff storage,
- (F) Contribution of flow,
- (G) Export of organic matter,
- (H) Export of food resources, and
- (I) Provision of life cycle dependent aquatic habitat (such as foraging, feeding, nesting, breeding, spawning, or use as a nursery area) for species located in a water identified in paragraphs (i)(1)(i) through (iii) of this section.

(vi) *Ordinary high water mark*. The term *ordinary high water mark* means that line on the shore established by the fluctuations of water and indicated by physical characteristics such as a clear, natural line impressed on the bank, shelving, changes in the character of soil, destruction of terrestrial vegetation, the presence of litter and debris, or other appropriate means that consider the characteristics of the surrounding areas.

(vii) *High tide line*. The term *high tide line* means the line of intersection of the land with the water’s surface at the maximum height reached by a rising tide. The high tide line may be determined, in the absence of actual data, by a line of oil or scum along shore objects, a more or less continuous deposit of fine shell or debris on the foreshore or berm, other physical markings or characteristics, vegetation lines, tidal gages, or other suitable means that delineate the general height reached by a rising tide. The line encompasses spring high tides and other high tides that occur with periodic frequency but does not include storm surges in which there is a departure from the normal or predicted reach of the tide due to the piling up of water against a coast by strong winds such as those accompanying a hurricane or other intense storm.

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(j) *Process waste water* means any water which, during manufacturing or processing, comes into direct contact with or results from the production or use of any raw material, intermediate product, finished product, byproduct, or waste product.

[44 FR 50776, Aug. 29, 1979, as amended at 58 FR 45039, Aug. 25, 1993; 65 FR 30904, May 15, 2000; 80 FR 37112, June 29, 2015]

### § 117.2 Abbreviations.

NPDES equals National Pollutant Discharge Elimination System. RQ equals reportable quantity.

### § 117.3 Determination of reportable quantities.

Each substance in Table 117.3 that is listed in Table 302.4, 40 CFR part 302, is assigned the reportable quantity listed in Table 302.4 for that substance.

TABLE 117.3—REPORTABLE QUANTITIES OF HAZARDOUS SUBSTANCES DESIGNATED PURSUANT TO SECTION 311 OF THE CLEAN WATER ACT

NOTE: The first number under the column headed “RQ” is the reportable quantity in pounds. The number in parentheses is the metric equivalent in kilograms. For convenience, the table contains a column headed “Category” which lists the code letters “X”, “A”, “B”, “C”, and “D” associated with reportable quantities of 1, 10, 100, 1000, and 5000 pounds, respectively.

TABLE 117.3—REPORTABLE QUANTITIES OF HAZARDOUS SUBSTANCES DESIGNATED PURSUANT TO SECTION 311 OF THE CLEAN WATER ACT

Material	Cat-egory	RQ in pounds (kilograms)
Acetaldehyde .....	C .....	1,000 (454)
Acetic acid .....	D .....	5,000 (2,270)
Acetic anhydride .....	D .....	5,000 (2,270)
Acetone cyanohydrin .....	A .....	10 (4.54)
Acetyl bromide .....	D .....	5,000 (2,270)
Acetyl chloride .....	D .....	5,000 (2,270)
Acrolein .....	X .....	1 (0.454)
Acrylonitrile .....	B .....	100 (45.4)
Adipic acid .....	D .....	5,000 (2,270)
Aldrin .....	X .....	1 (0.454)
Allyl alcohol .....	B .....	100 (45.4)
Allyl chloride .....	C .....	1,000 (454)
Aluminum sulfate .....	D .....	5,000 (2,270)
Ammonia .....	B .....	100 (45.4)
Ammonium acetate .....	D .....	5,000 (2,270)
Ammonium benzoate .....	D .....	5,000 (2,270)
Ammonium bicarbonate .....	D .....	5,000 (2,270)
Ammonium bichromate .....	A .....	10 (4.54)
Ammonium bifluoride .....	B .....	100 (45.4)
Ammonium bisulfite .....	D .....	5,000 (2,270)

TABLE 117.3—REPORTABLE QUANTITIES OF HAZARDOUS SUBSTANCES DESIGNATED PURSUANT TO SECTION 311 OF THE CLEAN WATER ACT—Continued

Material	Cat-egory	RQ in pounds (kilograms)
Ammonium carbamate .....	D .....	5,000 (2,270)
Ammonium carbonate .....	D .....	5,000 (2,270)
Ammonium chloride .....	D .....	5,000 (2,270)
Ammonium chromate .....	A .....	10 (4.54)
Ammonium citrate dibasic .....	D .....	5,000 (2,270)
Ammonium fluoborate .....	D .....	5,000 (2,270)
Ammonium fluoride .....	B .....	100 (45.4)
Ammonium hydroxide .....	C .....	1,000 (454)
Ammonium oxalate .....	D .....	5,000 (2,270)
Ammonium silicofluoride .....	C .....	1,000 (454)
Ammonium sulfamate .....	D .....	5,000 (2,270)
Ammonium sulfide .....	B .....	100 (45.4)
Ammonium sulfite .....	D .....	5,000 (2,270)
Ammonium tartrate .....	D .....	5,000 (2,270)
Ammonium thiocyanate .....	D .....	5,000 (2,270)
Amyl acetate .....	D .....	5,000 (2,270)
Aniline .....	D .....	5,000 (2,270)
Antimony pentachloride .....	C .....	1,000 (454)
Antimony potassium tartrate .....	B .....	100 (45.4)
Antimony tribromide .....	C .....	1,000 (454)
Antimony trichloride .....	C .....	1,000 (454)
Antimony trifluoride .....	C .....	1,000 (454)
Antimony trioxide .....	C .....	1,000 (454)
Arsenic disulfide .....	X .....	1 (0.454)
Arsenic pentoxide .....	X .....	1 (0.454)
Arsenic trichloride .....	X .....	1 (0.454)
Arsenic trioxide .....	X .....	1 (0.454)
Arsenic trisulfide .....	X .....	1 (0.454)
Barium cyanide .....	A .....	10 (4.54)
Benzene .....	A .....	10 (4.54)
Benzoic acid .....	D .....	5,000 (2,270)
Benzonitrile .....	D .....	5,000 (2,270)
Benzoyl chloride .....	C .....	1,000 (454)
Benzyl chloride .....	B .....	100 (45.4)
Beryllium chloride .....	X .....	1 (0.454)
Beryllium fluoride .....	X .....	1 (0.454)
Beryllium nitrate .....	X .....	1 (0.454)
Butyl acetate .....	D .....	5,000 (2,270)
Butylamine .....	C .....	1,000 (454)
n-Butyl phthalate .....	A .....	10 (4.54)
Butyric acid .....	D .....	5,000 (2,270)
Cadmium acetate .....	A .....	10 (4.54)
Cadmium bromide .....	A .....	10 (4.54)
Cadmium chloride .....	A .....	10 (4.54)
Calcium arsenate .....	X .....	1 (0.454)
Calcium arsenite .....	X .....	1 (0.454)
Calcium carbide .....	A .....	10 (4.54)
Calcium chromate .....	A .....	10 (4.54)
Calcium cyanide .....	A .....	10 (4.54)
Calcium dodecylbenzenesulfonate .....	C .....	1,000 (454)
Calcium hypochlorite .....	A .....	10 (4.54)
Captan .....	A .....	10 (4.54)
Carbaryl .....	B .....	100 (45.4)
Carbofuran .....	A .....	10 (4.54)
Carbon disulfide .....	B .....	100 (45.4)
Carbon tetrachloride .....	A .....	10 (4.54)
Chlordane .....	X .....	1 (0.454)
Chlorine .....	A .....	10 (4.54)
Chlorobenzene .....	B .....	100 (45.4)
Chloroform .....	A .....	10 (4.54)
Chlorosulfonic acid .....	C .....	1,000 (454)
Chlorpyrifos .....	X .....	1 (0.454)
Chromic acetate .....	C .....	1,000 (454)
Chromic acid .....	A .....	10 (4.54)
Chromic sulfate .....	C .....	1,000 (454)
Chromous chloride .....	C .....	1,000 (454)
Cobaltous bromide .....	C .....	1,000 (454)

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TABLE 117.3—REPORTABLE QUANTITIES OF  
HAZARDOUS SUBSTANCES DESIGNATED PUR-  
SUANT TO SECTION 311 OF THE CLEAN  
WATER ACT—Continued

Material	Cat- egory	RQ in pounds (kilograms)
Cobaltous formate .....	C .....	1,000 (454)
Cobaltous sulfamate .....	C .....	1,000 (454)
Coumaphos .....	A .....	10 (4.54)
Cresol .....	B .....	100 (45.4)
Crotonaldehyde .....	B .....	100 (45.4)
Cupric acetate .....	B .....	100 (45.4)
Cupric acetoarsenite .....	X .....	1 (0.454)
Cupric chloride .....	A .....	10 (4.54)
Cupric nitrate .....	B .....	100 (45.4)
Cupric oxalate .....	B .....	100 (45.4)
Cupric sulfate .....	A .....	10 (4.54)
Cupric sulfate, ammoniated .....	B .....	100 (45.4)
Cupric tartrate .....	B .....	100 (45.4)
Cyanogen chloride .....	A .....	10 (4.54)
Cyclohexane .....	C .....	1,000 (454)
2,4-D Acid .....	B .....	100 (45.4)
2,4-D Esters .....	B .....	100 (45.4)
DDT .....	X .....	1 (0.454)
Diazinon .....	X .....	1 (0.454)
Dicamba .....	C .....	1,000 (454)
Dichlobenil .....	B .....	100 (45.4)
Dichlone .....	X .....	1 (0.454)
Dichlorobenzene .....	B .....	100 (45.4)
Dichloropropane .....	C .....	1,000 (454)
Dichloropropene .....	B .....	100 (45.4)
Dichloropropene-Dichloropropane (mixture) .....	B .....	100 (45.4)
2,2-Dichloropropionic acid .....	D .....	5,000 (2,270)
Dichlorvos .....	A .....	10 (4.54)
Dicofol .....	A .....	10 (4.54)
Dieldrin .....	X .....	1 (0.454)
Diethylamine .....	B .....	100 (45.4)
Dimethylamine .....	C .....	1,000 (454)
Dinitrobenzene (mixed) .....	B .....	100 (45.4)
Dinitrophenol .....	A .....	10 (45.4)
Dinitrotoluene .....	A .....	10 (4.54)
Diquat .....	C .....	1,000 (454)
Disulfoton .....	X .....	1 (0.454)
Diuron .....	B .....	100 (45.4)
Dodecylbenzenesulfonic acid .....	C .....	1,000 (454)
Endosulfan .....	X .....	1 (0.454)
Endrin .....	X .....	1 (0.454)
Epichlorohydrin .....	B .....	100 (45.4)
Ethion .....	A .....	10 (4.54)
Ethylbenzene .....	C .....	1,000 (454)
Ethylenediamine .....	D .....	5,000 (2,270)
Ethylenediamine-tetraacetic acid (EDTA) .....	D .....	5,000 (2,270)
Ethylene dibromide .....	X .....	1 (0.454)
Ethylene dichloride .....	B .....	100 (45.4)
Ferric ammonium citrate .....	C .....	1,000 (454)
Ferric ammonium oxalate .....	C .....	1,000 (454)
Ferric chloride .....	C .....	1,000 (454)
Ferric fluoride .....	B .....	100 (45.4)
Ferric nitrate .....	C .....	1,000 (454)
Ferric sulfate .....	C .....	1,000 (454)
Ferrous ammonium sulfate .....	C .....	1,000 (454)
Ferrous chloride .....	B .....	100 (45.4)
Ferrous sulfate .....	C .....	1,000 (454)
Formaldehyde .....	B .....	100 (45.4)
Formic acid .....	D .....	5,000 (2,270)
Fumaric acid .....	D .....	5,000 (2,270)
Furfural .....	D .....	5,000 (2,270)
Guthion .....	X .....	1 (0.454)
Heptachlor .....	X .....	1 (0.454)
Hexachlorocyclopentadiene .....	A .....	10 (4.54)
Hydrochloric acid .....	D .....	5,000 (2,270)

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TABLE 117.3—REPORTABLE QUANTITIES OF  
HAZARDOUS SUBSTANCES DESIGNATED PUR-  
SUANT TO SECTION 311 OF THE CLEAN  
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Material	Cat- egory	RQ in pounds (kilograms)
Hydrofluoric acid .....	B .....	100 (45.4)
Hydrogen cyanide .....	A .....	10 (4.54)
Hydrogen sulfide .....	B .....	100 (45.4)
Isoprene .....	B .....	100 (45.4)
Isopropanolamine .....	C .....	1,000 (454)
dodecylbenzenesulfonate .....		
Kepone .....	X .....	1 (0.454)
Lead acetate .....	A .....	10 (4.54)
Lead arsenate .....	X .....	1 (0.454)
Lead chloride .....	A .....	10 (4.54)
Lead fluoborate .....	A .....	10 (4.54)
Lead fluoride .....	A .....	10 (4.54)
Lead iodide .....	A .....	10 (4.54)
Lead nitrate .....	A .....	10 (4.54)
Lead stearate .....	A .....	10 (4.54)
Lead sulfate .....	A .....	10 (4.54)
Lead sulfide .....	A .....	10 (4.54)
Lead thiocyanate .....	A .....	10 (4.54)
Lindane .....	X .....	1 (0.454)
Lithium chromate .....	A .....	10 (4.54)
Malathion .....	B .....	100 (45.4)
Maleic acid .....	D .....	5,000 (2,270)
Maleic anhydride .....	D .....	5,000 (2,270)
Mercaptodimethur .....	A .....	10 (4.54)
Mercuric cyanide .....	X .....	1 (0.454)
Mercuric nitrate .....	A .....	10 (4.54)
Mercuric sulfate .....	A .....	10 (4.54)
Mercuric thiocyanate .....	A .....	10 (4.54)
Mercurous nitrate .....	A .....	10 (4.54)
Methoxychlor .....	X .....	1 (0.454)
Methyl mercaptan .....	B .....	100 (45.4)
Methyl methacrylate .....	C .....	1,000 (454)
Methyl parathion .....	B .....	100 (45.4)
Mevinphos .....	A .....	10 (4.54)
Mexacarbate .....	C .....	1,000 (454)
Monoethylamine .....	B .....	100 (45.4)
Monomethylamine .....	B .....	100 (45.4)
Naled .....	A .....	10 (4.54)
Naphthalene .....	B .....	100 (45.4)
Naphthenic acid .....	B .....	100 (45.4)
Nickel ammonium sulfate .....	B .....	100 (45.4)
Nickel chloride .....	B .....	100 (45.4)
Nickel hydroxide .....	A .....	10 (4.54)
Nickel nitrate .....	B .....	100 (45.4)
Nickel sulfate .....	B .....	100 (45.4)
Nitric acid .....	C .....	1,000 (454)
Nitrobenzene .....	C .....	1,000 (454)
Nitrogen dioxide .....	A .....	10 (4.54)
Nitrophenol (mixed) .....	B .....	100 (45.4)
Nitrotoluene .....	C .....	1,000 (454)
Paraformaldehyde .....	C .....	1,000 (454)
Parathion .....	A .....	10 (4.54)
Pentachlorophenol .....	A .....	10 (4.54)
Phenol .....	C .....	1,000 (454)
Phosgene .....	A .....	10 (4.54)
Phosphoric acid .....	D .....	5,000 (2,270)
Phosphorus .....	X .....	1 (0.454)
Phosphorus oxychloride .....	C .....	1,000 (454)
Phosphorus pentasulfide .....	B .....	100 (45.4)
Phosphorus trichloride .....	C .....	1,000 (454)
Polychlorinated biphenyls .....	X .....	1 (0.454)
Potassium arsenate .....	X .....	1 (0.454)
Potassium arsenite .....	X .....	1 (0.454)
Potassium bichromate .....	A .....	10 (4.54)
Potassium chromate .....	A .....	10 (4.54)
Potassium cyanide .....	A .....	10 (4.54)
Potassium hydroxide .....	C .....	1,000 (454)

## Environmental Protection Agency

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TABLE 117.3—REPORTABLE QUANTITIES OF HAZARDOUS SUBSTANCES DESIGNATED PURSUANT TO SECTION 311 OF THE CLEAN WATER ACT—Continued

Material	Cat-egory	RQ in pounds (kilograms)
Potassium permanganate .....	B .....	100 (45.4)
Propargite .....	A .....	10 (4.54)
Propionic acid .....	D .....	5,000 (2,270)
Propionic anhydride .....	D .....	5,000 (2,270)
Propylene oxide .....	B .....	100 (45.4)
Pyrethrins .....	X .....	1 (0.454)
Quinoline .....	D .....	5,000 (2,270)
Resorcinol .....	D .....	5,000 (2,270)
Selenium oxide .....	A .....	10 (4.54)
Silver nitrate .....	X .....	1 (0.454)
Sodium .....	A .....	10 (4.54)
Sodium arsenate .....	X .....	1 (0.454)
Sodium arsenite .....	X .....	1 (0.454)
Sodium bichromate .....	A .....	10 (4.54)
Sodium bifluoride .....	B .....	100 (45.4)
Sodium bisulfite .....	D .....	5,000 (2,270)
Sodium chromate .....	A .....	10 (4.54)
Sodium cyanide .....	A .....	10 (4.54)
Sodium dodecylbenzenesulfonate ..	C .....	1,000 (454)
Sodium fluoride .....	C .....	1,000 (454)
Sodium hydrosulfide .....	D .....	5,000 (2,270)
Sodium hydroxide .....	C .....	1,000 (454)
Sodium hypochlorite .....	B .....	100 (45.4)
Sodium methylate .....	C .....	1,000 (454)
Sodium nitrite .....	B .....	100 (45.4)
Sodium phosphate, dibasic .....	D .....	5,000 (2,270)
Sodium phosphate, tribasic .....	D .....	5,000 (2,270)
Sodium selenite .....	B .....	100 (45.4)
Strontium chromate .....	A .....	10 (4.54)
Strychnine .....	A .....	10 (4.54)
Styrene .....	C .....	1,000 (454)
Sulfuric acid .....	C .....	1,000 (454)
Sulfur monochloride .....	C .....	1,000 (454)
2,4,5-T acid .....	C .....	1,000 (454)
2,4,5-T amines .....	D .....	5,000 (2,270)
2,4,5-T esters .....	C .....	1,000 (454)
2,4,5-T salts .....	C .....	1,000 (454)
TDE .....	X .....	1 (0.454)
2,4,5-TP acid .....	B .....	100 (45.4)
2,4,5-TP acid esters .....	B .....	100 (45.4)
Tetraethyl lead .....	A .....	10 (4.54)
Tetraethyl pyrophosphate .....	A .....	10 (4.54)
Thallium sulfate .....	B .....	100 (45.4)
Toluene .....	C .....	1,000 (454)
Toxaphene .....	X .....	1 (0.454)
Trichlorfon .....	B .....	100 (45.4)
Trichloroethylene .....	B .....	100 (45.4)
Trichlorophenol .....	A .....	10 (4.54)
Triethanolamine .....	C .....	1,000 (454)
dodecylbenzenesulfonate.		
Triethylamine .....	D .....	5,000 (2,270)
Trimethylamine .....	B .....	100 (45.4)
Uranyl acetate .....	B .....	100 (45.4)
Uranyl nitrate .....	B .....	100 (45.4)
Vanadium pentoxide .....	C .....	1,000 (454)
Vanadyl sulfate .....	C .....	1,000 (454)
Vinyl acetate .....	D .....	5,000 (2,270)
Vinylidene chloride .....	B .....	100 (45.4)
Xylene (mixed) .....	B .....	100 (45.4)
Xylenol .....	C .....	1,000 (454)
Zinc acetate .....	C .....	1,000 (454)
Zinc ammonium chloride .....	C .....	1,000 (454)
Zinc borate .....	C .....	1,000 (454)
Zinc bromide .....	C .....	1,000 (454)
Zinc carbonate .....	C .....	1,000 (454)
Zinc chloride .....	C .....	1,000 (454)
Zinc cyanide .....	A .....	10 (4.54)

TABLE 117.3—REPORTABLE QUANTITIES OF HAZARDOUS SUBSTANCES DESIGNATED PURSUANT TO SECTION 311 OF THE CLEAN WATER ACT—Continued

Material	Cat-egory	RQ in pounds (kilograms)
Zinc fluoride .....	C .....	1,000 (454)
Zinc formate .....	C .....	1,000 (454)
Zinc hydrosulfite .....	C .....	1,000 (454)
Zinc nitrate .....	C .....	1,000 (454)
Zinc phenolsulfonate .....	D .....	5,000 (2,270)
Zinc phosphide .....	B .....	100 (45.4)
Zinc silicofluoride .....	D .....	5,000 (2,270)
Zinc sulfate .....	C .....	1,000 (454)
Zirconium nitrate .....	D .....	5,000 (2,270)
Zirconium potassium fluoride .....	C .....	1,000 (454)
Zirconium sulfate .....	D .....	5,000 (2,270)
Zirconium tetrachloride .....	D .....	5,000 (2,270)

[50 FR 13513, Apr. 4, 1985, as amended at 51 FR 34547, Sept. 29, 1986; 54 FR 33482, Aug. 14, 1989; 58 FR 35327, June 30, 1993; 60 FR 30937, June 12, 1995]

### Subpart B—Applicability

#### § 117.11 General applicability.

This regulation sets forth a determination of the reportable quantity for each substance designated as hazardous in 40 CFR part 116. The regulation applies to quantities of designated substances equal to or greater than the reportable quantities, when discharged into or upon the navigable waters of the United States, adjoining shorelines, into or upon the contiguous zone, or beyond the contiguous zone as provided in section 311(b)(3) of the Act, except to the extent that the owner or operator can show such that discharges are made:

(a) In compliance with a permit issued under the Marine Protection, Research and Sanctuaries Act of 1972 (33 U.S.C. 1401 *et seq.*);

(b) In compliance with approved water treatment plant operations as specified by local or State regulations pertaining to safe drinking water;

(c) Pursuant to the label directions for application of a pesticide product registered under section 3 or section 24 of the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), as amended (7 U.S.C. 136 *et seq.*), or pursuant to the terms and conditions of an experimental use permit issued under section 5 of FIFRA, or pursuant to an exemption granted under section 18 of FIFRA;

(d) In compliance with the regulations issued under section 3004 or with permit conditions issued pursuant to section 3005 of the Resource Conservation and Recovery Act (90 Stat. 2795; 42 U.S.C. 6901);

(e) In compliance with instructions of the On-Scene Coordinator pursuant to 40 CFR part 1510 (the National Oil and Hazardous Substances Pollution Plan) or 33 CFR 153.10(e) (Pollution by Oil and Hazardous Substances) or in accordance with applicable removal regulations as required by section 311(j)(1)(A);

(f) In compliance with a permit issued under § 165.7 of Title 14 of the State of California Administrative Code;

(g) From a properly functioning inert gas system when used to provide inert gas to the cargo tanks of a vessel;

(h) From a permitted source and are excluded by § 117.12 of this regulation;

(i) To a POTW and are specifically excluded or reserved in § 117.13; or

(j) In compliance with a permit issued under section 404(a) of the Clean Water Act or when the discharges are exempt from such requirements by section 404(f) or 404(r) of the Act (33 U.S.C. 1344(a), (f), (r)).

**§ 117.12 Applicability to discharges from facilities with NPDES permits.**

(a) This regulation does not apply to:

(1) Discharges in compliance with a permit under section 402 of this Act;

(2) Discharges resulting from circumstances identified, reviewed and made a part of the public record with respect to a permit issued or modified under section 402 of this Act, and subject to a condition in such permit;

(3) Continuous or anticipated intermittent discharges from a point source, identified in a permit or permit application under section 402 of this Act, which are caused by events occurring within the scope of the relevant operating or treatment systems; or

(b) A discharge is “in compliance with a permit issued under section 402 of this Act” if the permit contains an effluent limitation specifically applicable to the substance discharged or an effluent limitation applicable to another waste parameter which has been specifically identified in the permit as

intended to limit such substance, and the discharge is in compliance with the effluent limitation.

(c) A discharge results “from circumstances identified, reviewed and made a part of the public record with respect to a permit issued or modified under section 402 of the Act, and subject to a condition in such permit,” whether or not the discharge is in compliance with the permit, where:

(1) The permit application, the permit, or another portion of the public record contains documents that specifically identify:

(i) The substance and the amount of the substance; and

(ii) The origin and source of the substance; and

(iii) The treatment which is to be provided for the discharge either by:

(A) An on-site treatment system separate from any treatment system treating the permittee’s normal discharge; or

(B) A treatment system designed to treat the permittee’s normal discharge and which is additionally capable of treating the identified amount of the identified substance; or

(C) Any combination of the above; and

(2) The permit contains a requirement that the substance and amounts of the substance, as identified in § 117.12(c)(1)(i) and § 117.12(c)(1)(ii) be treated pursuant to § 117.12(c)(1)(iii) in the event of an on-site release; and

(3) The treatment to be provided is in place.

(d) A discharge is a “continuous or anticipated intermittent discharge from a point source, identified in a permit or permit application under section 402 of this Act, and caused by events occurring within the scope of the relevant operating or treatment systems,” whether or not the discharge is in compliance with the permit, if:

(1) The hazardous substance is discharged from a point source for which a valid permit exists or for which a permit application has been submitted; and

(2) The discharge of the hazardous substance results from:

(i) The contamination of noncontact cooling water or storm water, provided that such cooling water or storm water



is not contaminated by an on-site spill of a hazardous substance; or

(ii) A continuous or anticipated intermittent discharge of process waste water, and the discharge originates within the manufacturing or treatment systems; or

(iii) An upset or failure of a treatment system or of a process producing a continuous or anticipated intermittent discharge where the upset or failure results from a control problem, an operator error, a system failure or malfunction, an equipment or system startup or shutdown, an equipment wash, or a production schedule change, provided that such upset or failure is not caused by an on-site spill of a hazardous substance.

[44 FR 50776, Aug. 29, 1979, as amended at 44 FR 58910, Oct. 12, 1979]

**§ 117.13 Applicability to discharges from publicly owned treatment works and their users.**

(a) [Reserved]

(b) These regulations apply to all discharges of reportable quantities to a POTW, where the discharge originates from a mobile source, except where such source has contracted with, or otherwise received written permission from the owners or operators of the POTW to discharge that quantity, and the mobile source can show that prior to accepting the substance from an industrial discharger, the substance had been treated to comply with any effluent limitation under sections 301, 302 or 306 or pretreatment standard under section 307 applicable to that facility.

**§ 117.14 Demonstration projects.**

Notwithstanding any other provision of this part, the Administrator of the Environmental Protection Agency may, on a case-by-case basis, allow the discharge of designated hazardous substances in connection with research or demonstration projects relating to the prevention, control, or abatement of hazardous substance pollution. The Administrator will allow such a discharge only where he determines that the expected environmental benefit from such a discharge will outweigh the potential hazard associated with the discharge.

**Subpart C—Notice of Discharge of a Reportable Quantity**

**§ 117.21 Notice.**

Any person in charge of a vessel or an onshore or an offshore facility shall, as soon as he has knowledge of any discharge of a designated hazardous substance from such vessel or facility in quantities equal to or exceeding in any 24-hour period the reportable quantity determined by this part, immediately notify the appropriate agency of the United States Government of such discharge. Notice shall be given in accordance with such procedures as the Secretary of Transportation has set forth in 33 CFR 153.203. This provision applies to all discharges not specifically excluded or reserved by another section of these regulations.

**§ 117.23 Liabilities for removal.**

In any case where a substance designated as hazardous in 40 CFR part 116 is discharged from any vessel or onshore or offshore facility in a quantity equal to or exceeding the reportable quantity determined by this part, the owner, operator or person in charge will be liable, pursuant to section 311 (f) and (g) of the Act, to the United States Government for the actual costs incurred in the removal of such substance, subject only to the defenses and monetary limitations enumerated in section 311 (f) and (g) of the Act.

The Administrator may act to mitigate the damage to the public health or welfare caused by a discharge and the cost of such mitigation shall be considered a cost incurred under section 311(c) for the removal of that substance by the United States Government.

**PART 121—STATE CERTIFICATION OF ACTIVITIES REQUIRING A FEDERAL LICENSE OR PERMIT**

**Subpart A—General**

Sec.

121.1 Definitions.

121.2 Contents of certification.

121.3 Contents of application.